

# NIH eSubmission Tips for Small Business Applicants



#### **Prepare to Apply - Start early!**

Get registered \*\*Registration can take 2-4 weeks to complete – even longer for new businesses!\*\*

- The small business concern must register with Grants.gov and eRA Commons.
- Principal Investigators (PIs) do not register with Grants.gov.
- All Project Director/Principal Investigators (PD/PIs) need eRA Commons accounts with the PI role.
  - Work with your organization's Signing Official (SO) to get an account set up.
  - o If you are both the PI and SO, you must have two separate accounts (one for each role).
  - o If you have an account for Internet Assisted Review, make sure your account has the PI role.
  - The PD/PIs listed on an application must be affiliated with the applicant organization. (See <a href="http://era.nih.gov/ElectronicReceipt/fag\_special.htm#2">http://era.nih.gov/ElectronicReceipt/fag\_special.htm#2</a>)
  - Your account will stay with you throughout your career. The same account can be affiliated with multiple institutions. You are responsible for maintaining your account information.

## Ensure you have appropriate software

- Free viewer to manipulate and submit Grants.gov application forms <a href="http://www.grants.gov/resources/download">http://www.grants.gov/resources/download</a> software.jsp.
- PDF conversion software to prepare required attachments. PDF format is required by NIH for all text attachments. (See http://era.nih.gov/ElectronicReceipt/pdf\_guidelines.htm#).

## **Find Opportunity and Download Package**

- All funding opportunities are posted in the NIH Guide for Grants and Contracts and Grants.gov Find.
- **IMPORTANT:** All electronic applications must be in response to a funding opportunity announcement (FOA). Application packages are specific to individual FOAs (i.e., can't reuse application forms from one opportunity to another).
  - Unsolicited, investigator-initiated applications may be submitted using "Parent" announcements.
     These FOAs have the term "Parent" in the title and can be found on the <u>parent announcement page</u> of the <u>NIH Guide</u> website. (<a href="http://grants.nih.gov/grants/guide/parent\_announcements.htm">http://grants.nih.gov/grants/guide/parent\_announcements.htm</a>)
    - SBIR Parent Funding Opportunity Announcement (<u>PA-07-280</u>)
    - STTR Parent Funding Opportunity Announcement (<u>PA-07-281</u>)

#### **Prepare Application**

- Develop the research plan and other text documents using a word processing program. Once finished, convert the document into PDF format and split into the required separate documents following the instructions in the research plan section of the <u>Grants.gov SBIR/STTR Application Guide SF424 (R&R)</u>. Check the PDF files carefully to ensure graphics and legends, etc. are as intended.
- Follow ALL instructions in the application guide and within the FOA. Instructions
  in the FOA "trump" those found in the application guide.
- Remember to include the PD/PI eRA Commons Username in the "Credential, e.g. agency login" field of the R&R Senior/Key Person Profile component. NIH requires it for application processing.
- The NIH system will automatically generate the table of contents, page numbers, headers and footers. We recommend the use of section headings to make sections easy to identify for reviewers.
- Remember to include effort of a value greater than zero in either calendar months or a combination of academic and summer months for all Senior/Key Persons listed in the budget.

## **Submit Application to Grants.gov**

• Pls cannot submit the application. Only the Authorized Organization Representative (AOR) can submit applications to Grants.gov.

#### **Check Submission Status in eRA Commons**

- NIH provides status emails to the AOR and the PI, but email can be unreliable. Proactively check your
  application status in eRA Commons. Allow up to 1 weekday from the time NIH has retrieved the
  application from Grants.gov for the status to appear in <u>eRA Commons</u>.
- The NIH Electronic Submission website and the application guide are excellent resources for resolving errors and warnings.
- Errors are fatal your application will not be accepted until all errors are resolved and the AOR submits a complete "Changed/Corrected" application through <u>Grants.gov</u>.
- Warnings do not stop processing and are addressed at the discretion of the applicant.

## **Check Assembled Application**

No one cares more about your application than you! After your error-free application is assembled in
the eRA Commons you will have two weekdays (M-F including holidays) to check it – use it! This is
your first chance to view/print the application just as a reviewer will see it. Corrections to the application
after this viewing period can only be made through and at the discretion of the Scientific Review
Administrator (SRA) and will be an addenda (i.e., reviewers will still see your original application
submission).

### **Finding Help**

- For general information on the SBIR/STTR program:
  - SBIR/STTR Program Office
     Web: http://grants.nih.gov/grants/funding/sbir.htm

Email: sbir@od.nih.gov



- For general information on the NIH Electronic Submission of Grant Applications program:
  - Web: http://era.nih.gov/ElectronicReceipt/
- For information on eRA Commons registration, application validation, and post submission functionality:
  - o eRA Commons Help Desk

Web: http://ithelpdesk.nih.gov/eRA/ (Preferred method of contact)

Toll-free: 1-866-504-9552 Phone: 301-402-7469 TTY: 301-451-5939

Hours: Mon-Fri, 7 a.m. to 8 p.m. ET

- For information about Grants.gov registration, form viewer software (e.g. PureEdge or Adobe) and form navigation contact:
  - o **Grants.gov Contact Center** Toll-free: 1-800-518-4726

Hours: Mon-Fri, 7 a.m. to 9 p.m. ET Email: support@grants.gov

- For NIH funding opportunity, application guidelines and grant-related resources contact:
  - o Grants Info

Phone: 301-435-0714 TTY: 301-451-5936 Fax: 301-480-0525

Email: GrantsInfo@nih.gov